H2OVL-Mississippi Vision Language Models Technical Report

Shaikat Galib[∗] Shanshan Wang[∗] Guanshuo Xu[∗] Pascal Pfeiffer Ryan Chesler Mark Landry Sri Satish Ambati H2O.ai {firstname.lastname, sri}@h2o.ai

1 Abstract

Smaller vision-language models (VLMs) are becoming increasingly important for privacy-focused, on-device applications due to their ability to run efficiently on consumer hardware for processing enterprise commercial documents and images. These models require strong language understanding and visual capabilities to enhance human-machine interaction. To address this need, we present *H2OVL-Mississippi*, a pair of small VLMs trained on 37 million image-text pairs using 240 hours of compute on 8 × H100 GPUs. *H2OVL-Mississippi-0.8B* is a tiny model with 0.8 billion parameters that specializes in text recognition, achieving state of the art performance on the Text Recognition portion of OCRBench and surpassing much larger models in this area. Additionally, we are releasing *H2OVL-Mississippi-2B*, a 2 billion parameter model for general use cases, exhibiting highly competitive metrics across various academic benchmarks. Both models build upon our prior work with H2O-Danube language models, extending their capabilities into the visual domain. We release them under the Apache 2.0 license, making VLMs accessible to everyone, democratizing document AI and visual LLMs.

H2OVL-Mississippi model collection:

<https://huggingface.co/collections/h2oai/h2ovl-mississippi-66e492da45da0a1b7ea7cf39>

2 Introduction

The field of vision-language models (VLMs) has rapidly evolved, with significant strides made in connecting visual encoders to language models to enhance the capabilities of AI in handling diverse visual and textual tasks. While current state-of-the-art models deliver impressive results, they often depend on large architectures that require extensive computational resources. The *H2OVL-Mississippi* models seek to address this limitation by offering efficient, smaller-scale alternatives that can compete with larger models across various vision-language tasks, especially in Optical Character Recognition (OCR) and document analysis. This paper introduces the *H2OVL-Mississippi-0.8B* and *H2OVL-Mississippi-2B* models, detailing their architecture, training methodology, and performance evaluations to highlight their efficiency and adaptability for real-world multimodal tasks. By adopting a data-driven approach, the *H2OVL-Mississippi* models provide a scalable and efficient solution for applications in document understanding and multimodal reasoning.

The development of the *H2OVL-Mississippi* models is guided by two primary goals: specialization and versatility. The *H2OVL-Mississippi-0.8B* model is specifically optimized for OCR and document-centric tasks, to provide high accuracy and efficiency in structured information extraction, even in resource-constrained environments. The *H2OVL-Mississippi-2B* model is designed to be a general-purpose vision-language model, capable of performing a wide range of multimodal tasks such as image captioning, visual question answering (VQA), and reasoning. By combining these two approaches, the *H2OVL-Mississippi* series aims to deliver models that are not only task-specific but also versatile enough to adapt to diverse visual and textual challenges, ensuring a comprehensive solution for multimodal AI applications.

The first three authors contributed equally.

3 Related Works

Early VLMs focused on connecting vision encoders to language models through trainable connectors, allowing models to align visual and textual representations. Notable examples include Flamingo [\[1\]](#page-9-0) and BLIP-2 [\[2\]](#page-9-1), which achieved strong results in tasks such as image captioning and visual question answering (VQA) by leveraging pre-trained vision and language components.

LLaVA [\[3\]](#page-9-2) extended this approach by introducing multimodal instruction tuning, enabling models to follow human instructions across visual tasks, such as interactive dialogue about images. This capability set a new benchmark for multimodal interaction and improved the model's ability to transfer knowledge across tasks.

Further advancements were made with models like PaLI [\[4\]](#page-9-3), Florence-2 [\[5\]](#page-9-4), and Unified-IO 2 [\[6\]](#page-9-5), which jointly trained vision and language components instead of relying on frozen pre-trained encoders. This joint training approach improved the model's performance on complex, cross-modal tasks such as document parsing and visual reasoning.

Decoder-only models, like Fuyu [\[7\]](#page-9-6) and CM3 [\[8\]](#page-9-7), streamlined the architecture by using a single transformer to process both image and text inputs. This simplification increased training and inference efficiency, making these models attractive for scenarios where computational resources are limited.

Recently, encoder-decoder models, like Qwen2-VL [\[9\]](#page-9-8) utilize a Naive Dynamic Resolution mechanism, enabling it to process images at varying resolutions by dynamically adjusting the number of visual tokens. This allows the model to handle complex visual tasks such as detailed image captioning and OCR with improved efficiency and accuracy. Similarly, InternVL 1.5 [\[10\]](#page-9-9) adopts a high-resolution strategy, breaking down images into tiles, which improves the model's ability to capture fine details across a range of vision tasks. Other models, such as Mini-Monkey [\[11\]](#page-9-10), tackle high-resolution image processing challenges by introducing multi-scale adaptive cropping, which allows models to capture small or irregularly shaped objects more accurately.

The *H2OVL-Mississippi-0.8B* and *H2OVL-Mississippi-2B* models build on these advancements by utilizing large and diverse datasets to further enhance multimodal performance, ensuring effective handling of a broad range of visual and textual tasks.

4 Model Architecture

Figure 1: *H2OVL-Mississippi* Model Architecture: The diagram illustrates the procedure for processing input images and text to the LLM. The input image undergoes resizing and cropping at various aspect ratios: (a) Resizing and cropping to the closest original size and aspect ratio, (b) Resizing and cropping to a different aspect ratio, and (c) Resizing the entire image to a fixed 448x448 pixels.

The architecture of the *H2OVL-Mississippi* model takes inspiration from the LLaVA [\[3\]](#page-9-2) and InternVL [\[10\]](#page-9-9) series, following a ViT-MLP-LLM configuration, as shown in Figure [1.](#page-1-0) It uses a transformer-based setup comprising a vision encoder, an MLP layer, and a large language model (LLM). The vision encoder extracts features from images, while the LLM generates text. The MLP layer acts as a bridge between the vision encoder and the LLM.

Specifically, the *H2OVL-Mississippi* architecture integrates the InternViT-300M as its vision encoder and supports two variations for the language model: Danube-2 (1.8 billion parameters) [\[12\]](#page-9-11) and Danube-3 (500 million parameters) [\[13\]](#page-9-12), providing flexibility based on computational requirements.

The architecture uses a dynamic resolution strategy [\[10\]](#page-9-9) that adjusts image processing based on the image's aspect ratio and resolution. It divides each image into 448x448 pixel tiles, using between 1 and 6 tiles for full

coverage of the image (Figure 1a). During training, the number of tiles varies, producing 256 to 1,590 visual tokens, allowing the model to adapt to different image dimensions while optimizing token usage and preserving key details.

To enhance computational efficiency, the architecture incorporates a pixel shuffle operation applied to the Vision Transformer (ViT) embeddings, reducing the number of visual tokens per 448x448 tile to 256. Typically used in image super-resolution tasks to rearrange and combine pixels from low-resolution images, pixel shuffling is adapted here to efficiently decrease the token count while maintaining significant information from each tile. This adaptation ensures effective processing of high-resolution images with reduced computational demands.

Furthermore, the *H2OVL-Mississippi-2B* model uses a multi-scale adaptive cropping (MSAC) strategy, as outlined in the Mini-Monkey report [\[11\]](#page-9-10). MSAC addresses the sawtooth effect [\[11\]](#page-9-10), a common issue in traditional cropping techniques, by generating multi-scale representations. This capability enables the model to capture features at different scales, improving performance on tasks involving small or irregularly shaped objects, such as document parsing and image recognition. Similar to the dynamic resolution strategy, MSAC varies the number of tiles from 2 to 6, as illustrated in Figure 1(b).

Finally, a resized version of the original image, scaled to 448x448 pixels, is included in the set of tiles to provide the model with a complete view of the image, improving its ability to capture the overall layout information $(Figure 1(c))$.

These advanced image processing techniques enable the model to balance efficiency and visual detail, ensuring strong performance across multimodal tasks. The dynamic resolution and MSAC strategies allow it to adapt to diverse image sizes and aspect ratios, optimizing token use while preserving image context. This versatility makes *H2OVL-Mississippi* a scalable and effective solution for tasks that require information extraction from fine-grained images.

5 Training Methodology

Training a vision language model involves learning complex relationships between images and corresponding texts by jointly optimizing a pre-trained vision encoder (ViT), a pre-trained language model (LLM), and a randomly initialized MLP projector that connects the two. LLaVA [\[3\]](#page-9-2) demonstrated that pre-training the connector with image-caption pairs significantly enhances performance outcomes. Qwen2-VL [\[9\]](#page-9-8) highlighted the benefits of pre-training visual components on large-scale image-text datasets, improving the model's capacity to integrate and interpret multimodal information effectively. Following this evidence, the *H2OVL-Mississippi* models employ a pre-training and fine-tuning strategy: pre-training focuses on aligning visual and textual features, while fine-tuning is dedicated to task-specific modeling. In the following sections, we describe the intent, training method and dataset distribution for the *H2OVL-Mississippi-0.8B* and *H2OVL-Mississippi-2B* models.

Figure 2: Data distribution across tasks during pre-training for the *H2OVL-Mississippi* models: (a) *H2OVL-Mississippi-0.8B* emphasizes OCR and document QA (44%), and general QA (29%), while (b) *H2OVL-Mississippi-2B* focuses on OCR and document QA (58%), and captioning (39%).

Figure 3: Data distribution across tasks during fine-tuning for the *H2OVL-Mississippi* models: (a) *H2OVL-Mississippi-0.8B* concentrates heavily on OCR and document QA (72%), with chart, figure, and table tasks (17%) as the second focus, whereas (b) *H2OVL-Mississippi-2B* balances various tasks, with general QA (39%), reasoning (17%), and OCR and document QA (24%) being the key components.

5.1 H2OVL-Mississippi-0.8B Model

The *H2OVL-Mississippi-0.8B* model is designed specifically for OCR and document understanding, with a focus on accurately extracting, recognizing, and interpreting text from images, particularly in complex and structured visual contexts. Its training methodology and datasets are tailored to optimize performance for these tasks.

- Pre-training: The pre-training phase utilizes 11 million conversation examples covering a diverse range of tasks, including general QA, image captioning, OCR, and reasoning, as depicted in Figure [2a.](#page-2-0) This diverse dataset helps the model achieve a well-balanced and unbiased state, establishing a strong foundation for the subsequent OCR-specific fine-tuning. The pre-training process consists of two steps. In step1, only the MLP projector is optimized, while both the ViT and LLM remain frozen, using approximately 3 percent of the pre-training dataset. In step 2, the MLP and LLM are jointly optimized, with the ViT still frozen, this time using the full pre-training dataset.
- Fine-tuning: The fine-tuning dataset consists of approximately 8 million examples, with a strong emphasis on OCR tasks such as text recognition, document parsing, and structured information extraction. To enhance the model's specialization in OCR, other general task datasets are excluded, as illustrated in Figure [3a.](#page-3-0) During this stage, all three components (ViT, MLP, and LLM) are optimized jointly.

Table [1](#page-4-0) presents the detailed data statistics, and the training hyperparameters are summarized in Table [2.](#page-4-1) For brevity, the pre-training step that focused solely on optimizing the MLP is not included.

5.2 H2OVL-Mississippi-2B Model

The *H2OVL-Mississippi-2B* model is designed to excel in document intelligence tasks while maintaining versatility as a general-purpose visual language model. During data composition, a significant portion (58%) of OCR and document-related data was incorporated in pre-training to optimize document visual feature extraction and alignment. In the fine-tuning stage, we balanced the data distribution to ensure the model's performance across a diverse range of domains and tasks.

- Pre-training: The pre-training dataset consists of 5 million conversation pairs, focusing on three key areas: OCR data, image captioning and text-only datasets. The OCR data trains the model to recognize and interpret text embedded within images, improving its skills in document understanding and text extraction from visual sources. The image captioning data connect visual inputs with corresponding textual descriptions, enhancing the model's ability to associate images with relevant language. The text-only datasets ensure that the model maintains strong language understanding capabilities even when visual inputs are absent. The distribution of this data is illustrated in Figure [2b.](#page-2-0) During this pre-training phase, only the vision encoder and MLP projector were trained together for 4 epochs, while the LLM remained frozen.
- Fine-tuning: The fine-tuning stage of *H2OVL-Mississippi-2B* utilized 12 million conversation examples to enhance task-specific performance across various domains. The primary tasks included

general question-answering (QA), which focused on handling multi-image, single-image, and text-only inputs. Additionally, OCR and document understanding were emphasized for extracting structured information from both multi- and single-image sources. Complex tasks involving reasoning, logic, and programming were also incorporated, requiring problem-solving with mixed input types. Furthermore, the fine-tuning covered captioning, textbook Q&A, image comparison, and chart and table understanding to ensure broad task coverage and versatility, as illustrated in Figure [3b.](#page-3-0) During this stage, the full model was trained for a total of 3 epochs.

Training hyperparameters are summarized in Table [2.](#page-4-1) Data composition statistics are presented in Table [1.](#page-4-0)

Task Composition	Input Type	2B-pretrain	2B-finetune	0.8B-pretrain -step1	0.8B-pretrain -step2	0.8B-finetune
General OA	multi-image single-image text-only	143,000	332,000 1.967.797 1.219.628		332,000 1,781,737 1.196.553	
Reasoning, logic, maths, programming	multi-image single-image text-only		256.995 876,245 899,960		215,000 518,929	41.995 705,340
Captioning	multi-image single-image	1.966.936	36,000 1.096.585	196.692	36,000 327,756	113,376
OCR, document understanding, text transcription	multi-image single-image	3,141,265	1.273.215 1,626,087	157,063	1.273.215 3,737,088	1.273.215 4,389,070
Textbook, academic questions	multi-image single-image		374,575 343.761		374,575 17,389	19,249
Chart, figure, table understanding	single-image		1,506,542		1,497,152	1,344,415
Differences between images	multi-image		138,000		138,000	
Total		5,251,201	11,947,390	353,755	11,445,394	7.886.660

Table 1: Summary of data for pre-training and fine-tuning of *H2OVL-Mississippi* models

Table 2: Hyperparameters for pre-training and fine-tuning of *H2OVL-Mississippi* models

	2B-pretrain	2B-finetune	0.8B-pretrain-step1	0.8B-pretrain-step2	0.8B-finetune
freeze VIT					
freeze LLM					
freeze MLP					
image size	448	448	448	448	448
max num tiles					
learning rate	$4e-5$	$4e-5 \rightarrow 2e-5$	$1e-4$	$3e-5$	$1e-5$
scheduler	cosine	cosine	cosine	cosine	cosine
batch size	256	256	256	256	256
weight decay	0.01	0.03	0.01	0.01	0.01
epochs		$2 \rightarrow 1$			
hardware	$8 \times$ H100	$8 \times$ H100	$8 \times$ H100	$8 \times$ H100	$8 \times$ H100
hours of training	36	158	0.8	11	34

6 Evaluation

In this section, we present evaluation of *H2OVL-Mississippi* across a variety of dimensions, focusing on (1) General Vision-Language benchmarks, and (2) OCR and document-centric benchmarks.

6.1 General Vision-Language benchmarks

Table [3](#page-5-0) provides a comprehensive comparison of models across a range of benchmarks, evaluating their strengths and weaknesses. It includes several categories of models, such as current state-of-the-art, legacy state-of-the-art, and similarly sized models. Each model's performance is assessed using benchmarks like MMBench [\[14\]](#page-9-13), MMStar [\[15\]](#page-9-14), MMMU [\[16\]](#page-10-0), Math Vista [\[17\]](#page-10-1), Hallusion Bench [\[18\]](#page-10-2), AI2D [\[19\]](#page-10-3), OCRBench [\[20\]](#page-10-4), and MMVet [\[21\]](#page-10-5), offering insights into their versatility and specialized capabilities.

Models classified under legacy state-of-the-art, such as GPT-4v (1106, detail-high) and Gemini-1.0-Pro, illustrate how quickly the field evolves. These models, though previously considered cutting-edge, now achieve lower scores, especially on advanced benchmarks like MMStar and OCRBench. For instance, GPT-4v scores 56.4 on average, with an OCRBench score of 678, which is considerably behind the newer models.

Within the category of similar size models, *H2OVL-Mississippi-2B* demonstrates competitive performance, with an average score of 54.4. *H2OVL-Mississippi-2B* excels in benchmarks like Math Vista (56.8) and OCRBench (782), positioning it as a strong model for multimodal and OCR tasks. Compared to its closest peer, Qwen2-VL-2B, *H2OVL-Mississippi-2B* shows a slight lag in benchmarks like MMBench and MMStar but remains strong in

OCR-related tasks, where it outperforms several similarly sized models. The trend among similar size models highlights that while models like *H2OVL-Mississippi-2B* and Qwen2-VL may not yet reach state-of-the-art performance, they are highly effective for specific use cases such as text extraction and mathematical reasoning tasks.

We utilized VLMEvalKit^{[2](#page-5-1)} [\[22\]](#page-10-6) for measuring the performance of the models. For both models, we set the maximum tile number for each image to 6. Additionally, the MSAC image preprocessing function was implemented for *H2OVL-Mississippi-2B*.

Models	Params (B)	Benchmark Scores								
		Avg. Score (8 Benchmarks)	MMBench $V1.1$ TEST	MMStar	MMMU_{VAL}	Math Vista	Hallusion Bench	AI2D _{TEST}	OCRBench	MMVet
Current state of the art										
Owen-VL-Max-0809	72	74.4	85.8	69.2	64.6	68.3	59.2	88.1	881	72.3
GPT-40-20240806		71.5	80.5	64.7	69.9	62.7	54.2	84.7	805	75.1
InternVL2-Llama3-76B	76	71.0	85.5	67.1	58.3	65.6	55.4	87.6	842	64.4
Claude3.5-Sonnet		67.9	78.5	62.2	65.9	61.6	49.9	80.2	788	66.0
Gemini-1.5-Pro		64.4	73.9	59.1	60.6	57.7	45.6	79.1	754	64.0
Legacy state of the art										
GPT-4v (1106, detail-high)	٠	56.4	65.5	50.4	59.3	48.2	39.3	71.4	678	49.0
Gemini-1.0-Pro	÷	56.1	69.7	38.6	49.0	46.5	45.7	72.9	680	58.6
Claude3-Sonnet		53.5	63.9	44.2	47.4	45.0	41.3	69.9	646	51.7
Owen-VL-Plus		52.2	66.2	39.7	39.8	37.6	40.6	65.7	726	55.7
Similar size models										
Owen2-VL-2B	2.1	57.2	72.2	47.5	42.2	47.8	42.4	74.7	797	51.5
H2OVL-Mississippi-2B	2.1	54.4	64.8	49.6	35.2	56.8	36.4	69.9	782	44.7
InternVL2-2B	2.1	53.9	69.6	49.8	36.3	46.0	38.0	74.1	781	39.7
Phi-3-Vision	4.2	53.6	65.2	47.7	46.1	44.6	39.0	78.4	637	44.1
MiniMonkey	2.2	52.7	68.9	48.1	35.7	45.3	30.9	73.7	794	39.8
MiniCPM-V-2	2.8	47.9	65.8	39.1	38.2	39.8	36.1	62.9	605	41.0
InternVL2-1B	0.8	48.3	59.7	45.6	36.7	39.4	34.3	63.8	755	31.5
PaliGemma-3B-mix-448	2.9	46.5	65.6	48.3	34.9	28.7	32.2	68.3	614	33.1
H2OVL-Mississippi-0.8B	0.8	43.5	47.7	39.1	34	39	29.6	53.6	751	30.0
DeepSeek-VL-1.3B	2.0	39.6	63.8	39.9	33.8	29.8	27.6	51.5	413	29.2

Table 3: Performance Comparison of Models Across Multiple Benchmarks

6.2 OCR and Document centric benchmarks

OCR Benchmarks. We conducted a detailed comparative analysis of various vision-language models (VLMs), including the latest general OCR model (e.g., GOT-OCR2.0[\[23\]](#page-10-7)), across multiple evaluation tasks from OCRBench[\[20\]](#page-10-4), a benchmark designed to rigorously assess OCR performance.The tasks covered include Text Recognition, Scene Text-centric VQA, Document-oriented VQA, Key Information Extraction (KIE), and Handwritten Mathematical Expression Recognition (HMER). Both *H2OVL-Mississippi-0.8B* and *H2OVL-Mississippi-2B* demonstrated competitive performance across the board.

The *H2OVL-Mississippi-0.8B* model stands out by achieving the highest score in OCRBench Text Recognition (274), significantly outperforming all other models, including those with much larger parameter sizes, such as InternVL2-26B and MiniCPM-V2.6. This result highlights the model's efficiency and capability, particularly for OCR-specific tasks. Despite having fewer parameters, the 0.8B model consistently surpasses larger models in text recognition, making it an optimal choice for resource-constrained environments where high OCR performance is required.

The *H2OVL-Mississippi-2B* model also demonstrates robust performance across a range of tasks. With a total score of 782, it outperforms several models that have much larger sizes, proving its overall effectiveness. In particular, the 2B model shows competitive results in Text Recognition (252), Scene Text VQA (171), Document-Oriented VQA (140), and KIE (166), making it an excellent candidate for general document understanding and extraction tasks.

Table [4](#page-6-0) presents detailed OCRBench results among comparable models. To further contextualize the results, we included two traditional OCR text recognition models in our analysis: DocTR-default [\[24\]](#page-10-8) and DocTR-V2M, the latter being a retrained version developed internally by our company.

Text Oriented VOA benchmarks. In addition to the OCRBench evaluation, we further investigate our model's detailed visual perception capabilities by assessing its performance on text-oriented VQA datasets, including TextVQA [\[25\]](#page-10-9), DocVQA [\[26\]](#page-10-10), and InfoVQA [\[27\]](#page-10-11). As summarized in Table [5,](#page-6-1) *H2OVL-Mississippi-2B* demonstrates commendable overall performance across all tasks. Notably, it achieves better or comparable scores even against much larger models like Cambrian-13B (13B parameters), showing its efficiency in handling text-based VQA tasks with significantly fewer parameters. Despite its smaller size (2.1B parameters), *H2OVL-Mississippi-2B* performs competitively on TextVQA and DocVQA and demonstrates steady results on InfoVQA, underscoring the model's robustness in diverse visual question-answering contexts.

² commit:e254f006fb389dc7877f64d517c14d855f7ac759

					OCRBench Scores				
Models	Params (B)	Language Model	Vision Model	Total	Text Recognition	Scene Text VQA	Document Oriented VOA	KIE.	HMER
MiniCPM-V2.6	8	$Owen2-7B$	SigLIP-400M	836	259	184	169	182	42
Intern VI 2-26B	26	internlm2-chat-20b	InternViT-6B	823	251	184	153	168	67
Owen2-VL-2B-Instruct	2.1	$Owen2-1.5B$	ViT-600M	812	265	172	146	174	55
MiniMonkey	2.2	InternLM2-1.8B	InternViT-300M	792	250	178	126	171	67
Intern VL2-4B	4	Phi-3	InternViT-300M	785	236	170	154	158	67
Intern VL2-2B	\overline{c}	InternLM2-1.8B	InternViT-300M	785	246	170	133	167	69
H2OVL-Mississippi-2B	2	H ₂ O-Danube ₂ 1.8B	InternViT-300M	782	252	171	140	166	53
Intern VL2-1B	0.8	Owen $2-0.5B$	InternViT-300M	755	242	164	127	150	72
H2OVL-Mississippi-0.8B	0.8	H ₂ O-Danube ₃ 0.5B	InternViT-300M	751	274	162	112	152	51
MiniCPM-Llama3-V2.5	8	Llama-3-8B-Instruct	SigLIP-400M	725	221	171	125	155	53
Intern VL-Chat-V1.5	26	InternLM2-20B	InternViT-6B	722	236	181	149	153	3
Mini-InternVL-Chat-2B-V1.5	2	InternLM2-1.8B	InternViT-300M	652	222	161	126	139	4
Phi-3-Vision	4.2	Phi-3	CLIP ViT-L/14	640	196	159	137	148	$\mathbf{0}$
Mini-InternVL-Chat-4B-V1.5	4	Phi-3	InternViT-300M	640	193	160	146	135	6
$GOT-OCR2.0$	0.6	Owen $2-0.5B$	VitDet-80M	622	245	99	83	164	31
PaliGemma-3B-mix-448	2.9	Gemma-2B	SigLIP-400M	613	242	165	88	118	$\mathbf{0}$
MiniCPM-V-2	2.8	MiniCPM-2.4B	SigLIP-400M	596	243	168	100	85	Ω
DocTR-default	0.05	$\overline{}$		$\overline{}$	177	٠	$\overline{}$	$\overline{}$	
DocTR-V2M(H2O.AI)	0.05			۰	256	-			

Table 4: Performance Comparison of Models on OCRBench

Table 5: Comparison on Text-Oriented VQA. Performance comparison with SoTA and similar sized models on public text-oriented VQA
benchmarks includes: TextVQA[\[25\]](#page-10-9), DocVQA[\[26\]](#page-10-10) and InfoVQA[\[27\]](#page-10-11). '*' denotes numbers obtained fro

Table 6: Comparison on Information Extraction Tasks. Performance comparison with SoTA and similarly sized models on document-specific
information extraction tasks. The evaluation is conducted on real-world documents across and checks. Accuracy is reported as the average of perfect match rate, effective TED, and F1-scores regarding JSON parsing rates. The best performance for each task is highlighted in bold, while the second-best is shown in blue.

Document specific information extraction benchmarks. To further explore the document understanding capabilities of the *H2OVL-Mississippi* models in real-world scenarios, we curated three datasets that cover some of the most common business documents: receipts, driver's licenses, and checks. Using prompts similar to those listed in Figure [4](#page-8-0) , we evaluated the model on information extraction tasks. Performance was measured based on effective Tree Edit Distance (TED) and effective F1 derived from JSON parsing rate, and the perfect match rate. The final accuracy score was an average of these metrics for each document type.

The quantitative results are summarized in Table [6.](#page-6-2) The *H2OVL-Mississippi-2B* model excelled in processing receipts, achieving the second-highest accuracy of 82, outperforming much larger models such as InternVL2-40B, InternVL2-26B, and GPT-4o. This underscores the model's efficiency and strong capability in handling specific document types, despite its relatively smaller size (2.1B parameters). On driver's licenses and checks, *H2OVL-Mississippi-2B* also showed competitive results, with scores of 56.4 and 41.5, respectively. While it did not surpass the top-performing larger models in these tasks, it outperformed some of the larger models and ranked above other similar size models. its consistent performance across different document types highlights its effectiveness in balancing accuracy and computational efficiency.

7 Conclusions and Future Work

We introduce *H2OVL-Mississippi*, a series of small language models consisting of *H2OVL-Mississippi-2B* and *H2OVL-Mississippi-0.8B* released open source under Apache 2.0. Our models show competitive performance compared to popular models of similar size across a variety of benchmarks, including general vision-language evaluations, OCR and document-centric tasks. *H2OVL-Mississippi* is built on our continuous efforts to contribute to the growing ecosystem of open source small language models. We are confident that our models can play a pivotal role in a wide range of applications, from typical chatting and fine-tuning for specific use cases to on-device offline applications on mobile phones or edge devices.

Through this project, we gained valuable experience in the end-to-end development of vision-language models, including data collection and preparation, input preprocessing, model architecture selection, training, and hyperparameter tuning. These learnings have prepared us to tackle more complex challenges in future work, such as:

- Improving multilingual capabilities to extend model support for diverse languages and scripts.
- Incorporating additional modalities, such as video and audio, to enable richer multimodal understanding.
- Scaling up model sizes to 4B, 7B, or even larger, to further enhance performance and address more complex tasks.
- Addressing agent-based tasks that involve decision-making and real-world interaction, enabling the models to function effectively in dynamic environments.
- Enhancing fine-grained visual capabilities to improve performance in tasks that require distinguishing between highly similar objects or parsing intricate scenes.

Figure 4: Example outputs with *H2OVL-Mississippi* models.

Acknowledgments

We would like to thank Philipp Singer and Yauhen Babakhin of H2O.AI Danube team for data contribution and insightful discussion.

References

- [1] Jean-Baptiste Alayrac, Jeff Donahue, Pauline Luc, Antoine Miech, Iain Barr, Yana Hasson, Karel Lenc, Arthur Mensch, Katie Millican, Malcolm Reynolds, Roman Ring, Eliza Rutherford, Serkan Cabi, Tengda Han, Zhitao Gong, Sina Samangooei, Marianne Monteiro, Jacob Menick, Sebastian Borgeaud, Andrew Brock, Aida Nematzadeh, Sahand Sharifzadeh, Mikolaj Binkowski, Ricardo Barreira, Oriol Vinyals, Andrew Zisserman, and Karen Simonyan. Flamingo: a visual language model for few-shot learning, 2022.
- [2] Junnan Li, Dongxu Li, Silvio Savarese, and Steven Hoi. Blip-2: Bootstrapping language-image pre-training with frozen image encoders and large language models, 2023.
- [3] Haotian Liu, Chunyuan Li, Qingyang Wu, and Yong Jae Lee. Visual instruction tuning, 2023.
- [4] Xi Chen, Xiao Wang, Soravit Changpinyo, AJ Piergiovanni, Piotr Padlewski, Daniel Salz, Sebastian Goodman, Adam Grycner, Basil Mustafa, Lucas Beyer, Alexander Kolesnikov, Joan Puigcerver, Nan Ding, Keran Rong, Hassan Akbari, Gaurav Mishra, Linting Xue, Ashish Thapliyal, James Bradbury, Weicheng Kuo, Mojtaba Seyedhosseini, Chao Jia, Burcu Karagol Ayan, Carlos Riquelme, Andreas Steiner, Anelia Angelova, Xiaohua Zhai, Neil Houlsby, and Radu Soricut. Pali: A jointly-scaled multilingual language-image model, 2023.
- [5] Bin Xiao, Haiping Wu, Weijian Xu, Xiyang Dai, Houdong Hu, Yumao Lu, Michael Zeng, Ce Liu, and Lu Yuan. Florence-2: Advancing a unified representation for a variety of vision tasks, 2023.
- [6] Jiasen Lu, Christopher Clark, Sangho Lee, Zichen Zhang, Savya Khosla, Ryan Marten, Derek Hoiem, and Aniruddha Kembhavi. Unified-io 2: Scaling autoregressive multimodal models with vision, language, audio, and action, 2023.
- [7] Rohan Bavishi, Erich Elsen, Curtis Hawthorne, Maxwell Nye, Augustus Odena, Arushi Somani, and Sağnak Taşırlar. Introducing our multimodal models, 2023.
- [8] Lili Yu, Bowen Shi, Ramakanth Pasunuru, Benjamin Muller, Olga Golovneva, Tianlu Wang, Arun Babu, Binh Tang, Brian Karrer, Shelly Sheynin, Candace Ross, Adam Polyak, Russell Howes, Vasu Sharma, Puxin Xu, Hovhannes Tamoyan, Oron Ashual, Uriel Singer, Shang-Wen Li, Susan Zhang, Richard James, Gargi Ghosh, Yaniv Taigman, Maryam Fazel-Zarandi, Asli Celikyilmaz, Luke Zettlemoyer, and Armen Aghajanyan. Scaling autoregressive multi-modal models: Pretraining and instruction tuning, 2023.
- [9] Peng Wang, Shuai Bai, Sinan Tan, Shijie Wang, Zhihao Fan, Jinze Bai, Keqin Chen, Xuejing Liu, Jialin Wang, Wenbin Ge, Yang Fan, Kai Dang, Mengfei Du, Xuancheng Ren, Rui Men, Dayiheng Liu, Chang Zhou, Jingren Zhou, and Junyang Lin. Qwen2-vl: Enhancing vision-language model's perception of the world at any resolution, 2024.
- [10] Zhe Chen, Weiyun Wang, Hao Tian, Shenglong Ye, Zhangwei Gao, Erfei Cui, Wenwen Tong, Kongzhi Hu, Jiapeng Luo, Zheng Ma, et al. How far are we to gpt-4v? closing the gap to commercial multimodal models with open-source suites. *arXiv preprint arXiv:2404.16821*, 2024.
- [11] Mingxin Huang, Yuliang Liu, Dingkang Liang, Lianwen Jin, and Xiang Bai. Mini-monkey: Multi-scale adaptive cropping for multimodal large language models. *arXiv preprint arXiv:2408.02034*, 2024.
- [12] Philipp Singer, Pascal Pfeiffer, Yauhen Babakhin, Maximilian Jeblick, Nischay Dhankhar, Gabor Fodor, and Sri Satish Ambati. H2o-danube-1.8b technical report, 2024.
- [13] Pascal Pfeiffer, Philipp Singer, Yauhen Babakhin, Gabor Fodor, Nischay Dhankhar, and Sri Satish Ambati. H2o-danube3 technical report, 2024.
- [14] Yuan Liu, Haodong Duan, Yuanhan Zhang, Bo Li, Songyang Zhang, Wangbo Zhao, Yike Yuan, Jiaqi Wang, Conghui He, Ziwei Liu, Kai Chen, and Dahua Lin. Mmbench: Is your multi-modal model an all-around player? *arXiv:2307.06281*, 2023.
- [15] Lin Chen, Jinsong Li, Xiaoyi Dong, Pan Zhang, Yuhang Zang, Zehui Chen, Haodong Duan, Jiaqi Wang, Yu Qiao, Dahua Lin, et al. Are we on the right way for evaluating large vision-language models? *arXiv preprint arXiv:2403.20330*, 2024.
- [16] Xiang Yue, Yuansheng Ni, Kai Zhang, Tianyu Zheng, Ruoqi Liu, Ge Zhang, Samuel Stevens, Dongfu Jiang, Weiming Ren, Yuxuan Sun, Cong Wei, Botao Yu, Ruibin Yuan, Renliang Sun, Ming Yin, Boyuan Zheng, Zhenzhu Yang, Yibo Liu, Wenhao Huang, Huan Sun, Yu Su, and Wenhu Chen. Mmmu: A massive multi-discipline multimodal understanding and reasoning benchmark for expert agi. In *Proceedings of CVPR*, 2024.
- [17] Pan Lu, Hritik Bansal, Tony Xia, Jiacheng Liu, Chunyuan Li, Hannaneh Hajishirzi, Hao Cheng, Kai-Wei Chang, Michel Galley, and Jianfeng Gao. Mathvista: Evaluating mathematical reasoning of foundation models in visual contexts. In *International Conference on Learning Representations (ICLR)*, 2024.
- [18] Tianrui Guan, Fuxiao Liu, Xiyang Wu, Ruiqi Xian, Zongxia Li, Xiaoyu Liu, Xijun Wang, Lichang Chen, Furong Huang, Yaser Yacoob, Dinesh Manocha, and Tianyi Zhou. Hallusionbench: An advanced diagnostic suite for entangled language hallucination & visual illusion in large vision-language models, 2023.
- [19] Aniruddha Kembhavi, Mike Salvato, Eric Kolve, Minjoon Seo, Hannaneh Hajishirzi, and Ali Farhadi. A diagram is worth a dozen images, 2016.
- [20] Yuliang Liu, Zhang Li, Mingxin Huang, Biao Yang, Wenwen Yu, Chunyuan Li, Xucheng Yin, Cheng lin Liu, Lianwen Jin, and Xiang Bai. On the hidden mystery of ocr in large multimodal models, 2024.
- [21] Weihao Yu, Zhengyuan Yang, Linjie Li, Jianfeng Wang, Kevin Lin, Zicheng Liu, Xinchao Wang, and Lijuan Wang. Mm-vet: Evaluating large multimodal models for integrated capabilities, 2023.
- [22] Haodong Duan, Junming Yang, Yuxuan Qiao, Xinyu Fang, Lin Chen, Yuan Liu, Xiaoyi Dong, Yuhang Zang, Pan Zhang, Jiaqi Wang, Dahua Lin, and Kai Chen. Vlmevalkit: An open-source toolkit for evaluating large multi-modality models, 2024.
- [23] Haoran Wei, Chenglong Liu, Jinyue Chen, Jia Wang, Lingyu Kong, Yanming Xu, Zheng Ge, Liang Zhao, Jianjian Sun, Yuang Peng, et al. General ocr theory: Towards ocr-2.0 via a unified end-to-end model. *arXiv preprint arXiv:2409.01704*, 2024.
- [24] Mindee. doctr: Document text recognition. <https://github.com/mindee/doctr>, 2021.
- [25] Amanpreet Singh, Vivek Natarajan, Meet Shah, Yu Jiang, Xinlei Chen, Dhruv Batra, Devi Parikh, and Marcus Rohrbach. Towards vqa models that can read, 2019.
- [26] Minesh Mathew, Dimosthenis Karatzas, and C. V. Jawahar. Docvqa: A dataset for vqa on document images, 2021.
- [27] Minesh Mathew, Viraj Bagal, Rubèn Pérez Tito, Dimosthenis Karatzas, Ernest Valveny, and C. V Jawahar. Infographicvqa, 2021.